## HREOC/GRIFFITH STUDY: MAIN FEATURES OF AGREEMENTS

| Agreement  | Consultative Processes eg identifying NT parties/preparing for negotiations                   | Negotiation protocols eg. MOU, resource issues.  | Level of<br>Representation<br>NTRB/non NTRB  | Government<br>Involvement   | Legal<br>Requirement<br>To Negotiate   | Payments                           |
|--|---|--|--|-----------------------------|--|------------------------------------|
| Agreement 1  | Extensive<br>consultations over<br>an 18 month period   | A number of the NT parties involved were in conflict over boundaries and related matter. However, they agreed to enter into a MOU which recognised their differences and their commitment to maintaining a united front in their dealings with the resource company throughout the negotiations. | The Native Title parties obtained funding for legal advice through two NTRBS   | No                          | Yes- RTN   | Substantial<br>lump sum<br>payment |
| Trust/Use<br>of Funds  | Employment  | Business opportunity and contracting   | Cultural Heritage  | Environmental<br>Management | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures | Land Title                         |
| Trust fund established for the benefit of the NT parties, including a scholarship fund. Agreement did not state how the financial benefits are to be split between the NT groups | Company to develop and implement an Aboriginal employment and training strategy; skills audit | Contractors required to demonstrate commitment to employment of Aboriginal people.   | Regime established for cultural heritage surveys. Includes vesting of power in cultural heritage monitors to stop work if cultural heritage sites were encountered; relevant members of NTG to determine how to proceed. | None                        | Ongoing<br>monitoring in<br>relation to<br>cultural heritage   | No<br>determination<br>involved    |

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|------------------------|---|--|---|--|--|---|
| Agreement 2            | Not documented  | Proponent<br>reimbursed some<br>legal costs of NTRB                            | An Aboriginal corporation represented each of the NT parties. They were assisted by a member of staff of the NTRB for the region and by a private lawyer retained by the NTRB. However the NTRB did not assume a formal role throughout the course of the negotiations. | The state government was a party to the agreement. Only commitment is to provide access to government services | Yes- RTN   | A complex set of payments, which together is equivalent to less than a 0.2 per cent royalty. Once all statutory requirements have been met, the proponent would issue share options to NT parties |
| Trust/ Use of<br>Funds | Employment  | Business<br>opportunity and<br>contracting                                     | Cultural Heritage   | Environmental<br>Management  | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures | Land Title  |
| None                   | Indigenous employment and training target of 10 per cent within 5 years. Provide one- off payment to help establish an indigenous employment and training agency. | Preferred contractor<br>status for<br>competitive<br>Indigenous<br>businesses. | Cultural heritage management plan which builds on cultural heritage surveys of parts of the project area conducted by the NT parties in earlier years.  | None   | None   | Non-<br>extinguishment<br>principle.  |

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|-----------------------|---|---|--|-----------------------------------|--|---|
| Agreement 3           | Extensive consultations took place and an independent mediator was employed throughout the consultation and negotiation period. | Protocols established dealing with representation, technical advice and resourcing (resources to be provided by company but to be repaid in the event of an agreement). | An Aboriginal Corporation was established as an incorporated body to represent NT parties. No NTRB involvement | No                                | No   | Package of<br>benefits<br>estimated at<br>\$60 million<br>over 20 years |
| Trust/Use of<br>Funds | Employment  | Business<br>opportunity and<br>contracting  | Cultural Heritage  | Environmental<br>Management       | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures | Land Title  |
| None                  | Employment and training and educational opportunities   | Business<br>opportunities, joint<br>venture initiatives   | Implementation of cultural heritage protection measures including site clearance procedures for mining         | Environmental protection measures | Ongoing<br>monitoring of<br>the Agreement<br>through a liaison<br>committee, 5<br>yearly review.                       | No<br>determination   |

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|--|---|---|---|--|--|---|
| Agreement 4  | Company provided modest financial contribution to the cost of consultations   | Protocol which set out the framework for negotiations including timelines and objectives and funding. Native Title parties deal only through nominated representatives and no 'side deals'. | NTRB  | None   | Yes. RTN   | None, but issue of shares, half on grant of mining lease and the remaining half upon registration of an ILUA. |
| Trust/Use of Funds   | Employment  | Business<br>opportunity and<br>contracting  | Cultural Heritage   | Environmental<br>Management  | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures | Land Title  |
| Prescribed Body Corporate to be established to hold shares | Employment preference, training, proponent to encourage contractors and sub- contractors to employ Aboriginal residents of the region | Preference for competitive tenders from Aboriginal organisations  | Procedures for management and protection of areas of significance; compensation for deliberate damage to such areas. NT parties agree that once the cultural heritage protection procedures have been applied to an area they will not bring any claims in respect of the presence of significant areas under Commonwealth or state legislation | Company is only undertaking to comply with environmental standards applied to the company under relevant environmental legislation | None   | No determination  |

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|--|---|--|--|-----------------------------|--|--|
| Agreement 5  | Native Title claim had been registered for region so company initially made contact with the NT claimants through NTRB. | None   | Legal advice from private law firm but also received assistance from NTRB  | No                          | Yes. RTN   | Financial payments which are confidential but are believed to be modest. |
| Trust/Use of<br>Funds  | Employment  | Business<br>opportunity and<br>contracting           | Cultural Heritage  | Environmental<br>Management | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures | Land Title   |
| Trust fund for educational and training of young Aboriginal people from the local area | None  | None   | Contribution by the company towards the establishment of a keeping place to preserve and exhibit items of significance | None                        | Joint management plan for cultural heritage and sites of significance  | No<br>determination  |

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|---|--|--|--|---|---|--|
| Agreement 6   | Three steering committees representing communities and NT parties (membership determined by NT parties) which then provided direction to an extensive community consultation exercise. | MOU established process for information gathering, timelines, resourcing                               | Minority of the traditional owner groups retained independent legal advice towards the end of the negotiations while the NTRB represented the other TO groups The NTRB was also a party to the agreement | State<br>government is a<br>party and<br>contributes<br>substantially to<br>compensation<br>package | No. A private agreement subsequently registered as an ILUA  | Initially \$4 million per annum combined payments by company and the state government to a trust fund  |
| Trust/Use of<br>Funds   | Employment   | Business<br>opportunity and<br>contracting   | Cultural Heritage  | Environmental<br>Management   | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures  | Land Title   |
| Substantial allocation to long-term investment under terms of trust. Remainder allocated for communities and NT parties | Minimum payments specified for employment training and youth education programs  | Preference for competitive local Aboriginal businesses seeking to supply goods and services to company | Cultural heritage surveys, site protection plans and cultural awareness training for company staff and principal contractors; establishment of out stations on suitable areas of the mining lease        | Adoption of a collaborative approach to environmental management                                    | Coordinating committee (majority of indigenous members) monitors agreement, advises on conduct of mining. Full time Aboriginal liaison officer assists committee. | Company<br>support for NT<br>determination<br>to extent this is<br>consistent with<br>recognition of<br>company's<br>rights and<br>interests |

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|-----------------------|---|--|--|-----------------------------|---|--|
| Agreement 7           | None  | None   | NTRB party to the agreement  | None                        | No  | Payment to a trust fund to assist native title parties re: determination of native title. One off payment to assist Native title party to set up steering committee and organisation |
| Trust/Use of<br>Funds | Employment  | Business<br>opportunity and<br>contracting   | Cultural Heritage  | Environmental<br>Management | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures  | Land Title   |
| None                  | An education fund, company contribution in excess of \$50,000 annually for five years | Preferential employment and business development strategy to facilitate Aboriginal participation in employment and contracting | Company operations subject to a cultural heritage management plan; Cultural heritage officers have vested power to halt operations if company is in breach of the CHMP | None                        | Employ six full time Aboriginal cultural heritage officers to monitor seismic activity; company to meet travel costs and all living expenses while traditional owners are in the field. | No determination   |

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|-----------------------|---|---|--|---|---|--|
| Agreement 8           | Company provided modest funds to some Native Title parties to facilitate consultations              | Company made a modest contribution to negotiation expenses of some NT parties | Private law firms<br>and the Aboriginal<br>Legal Service                   | None  | Yes. RTN  | Some agreements confidential so information limited. Payments to elders and to some individuals. First payment made to a trust, but subsequent payments not made. Disputation has occurred over other payments and share issues. |
| Trust Use of<br>Funds | Employment  | Business<br>opportunity and<br>contracting                                    | Cultural Heritage  | Environmental<br>Management   | Monitoring the project-implementation of agreement; Indigenous participation in decision-making/management structures                       | Land Title   |
| None                  | Employment and training initiatives. Company has achieved indigenous employment of about 15 percent | Preference to certain<br>NT parties re:<br>granting of contracts              | Only one of a series of agreements dealt with cultural heritage protection | In cooperation with one of the native title parties to protect, preserve and rehabilitate the project areas. Only one individual has had an involvement in these areas through their membership of company's Environmental liaison committee. | One agreement established a management committee to coordinate implementation of agreement, but meetings were discontinued after six months | No<br>determination  |

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|-----------------------|--|--|---|---|--|---|
| Agreement 9           | Government<br>provided some<br>funds to Aboriginal<br>groups to participate<br>in the environ-<br>mental review<br>process   | Federal government<br>provided some<br>funding for and<br>subsequent<br>negotiations   | Each group<br>represented by its<br>own organisation,<br>each had separate<br>legal<br>representation | No  | No. Agreements<br>which are<br>common law<br>contracts   | Annual payments which vary between agreement. Fixed sums which amount to a modest royalty (less than 0.2 percent) |
| Trust/Use of<br>Funds | Employment   | Business<br>opportunity and<br>contracting   | Cultural Heritage   | Environmental<br>Management   | Monitoring the project-implementation of agreement; Indigenous participation in decision-making /management structures   | Land Title  |
| None                  | Aboriginal employment of 25 percent during the exploration phase; appointment of an Aboriginal employment coordinator; transport Aboriginal workers to the mine site free of charge from their community | Company applied a preference to Northern residents and tenderers in hiring workers and allocating contracts; \$20 million (in contracts) awarded to Aboriginal enterprises | None  | The agreement makes provision for mitigation and compensation if Company's operations are shown to be responsible for damage to the environment, wildlife or harvesting rights. | Establishment and resourcing of an independent environmental monitoring agency whose board has a majority of indigenous members; requirement for company to report to this agency, undertake environmental management plans and monitoring programs, closure and reclamation planning; appointment of an indigenous representative to liaise with company on behalf of the Aboriginal groups | None  |

<sup>\*</sup> Document Sources
• Copy of the agreement